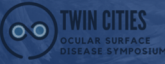


## Disruptive Innovations in Ocular Surface Disease

**Elizabeth Yeu, MD**

Assistant Professor, Eastern Virginia Medical School  
Virginia Eye Consultants  
Cornea, Cataract, External Disease and Refractive Surgery  
Hampton/Norfolk/Suffolk/Virginia Beach, VA




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### Financial Interests Disclosure

- Alcon: C, R
- Allergan: C
- Aurea Medical: C
- Avedro: C
- Bausch & Lomb/Valeant: C, R
- BioTissue: C, R
- Beaver Visitec: C
- Bruder: C
- EyePoint Pharmaceuticals: C
- iOptics: C, R
- Glaukos: S
- Guidepoint: C
- J & J Vision: C
- LENSAR: C
- Kala Pharmaceuticals: C
- Merck: C
- Mynosys: C
- Novartis: C
- Ocular Science: C, R
- Ocular Therapeutic: C
- Ocusoft: C
- Omeros: C
- Oyster Point Pharmaceuticals: C
- Science Based Health: C
- Shire: C
- Sight Sciences: C
- SightLife Surgical: C
- Sun: C
- TopCon: C, R
- TearLab Corporation: C, R
- TearScience: C
- Zeiss: C

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### Treatment Strategies in 2019

- Lubricants**
  - Tears (emulsions, solutions), gels, ointments, sustained-release formulation
  - Ingredients
    - Hyaluronic acid, Carboxymethylcellulose (CMC), Lipid-based
- Nutrition**
  - Oral essential fatty acids
  - Vitamin A ointment

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### Treatment Strategies in 2019: Lid Margin Disease Management

- Warm compress and lid massage
  - Difficult to maintain adequate temperature; poor compliance
- Lid scrubs
  - Commercial soap scrubs
  - Tea tree oil in *Demodex* mite infestation<sup>1</sup>
- In-office lid margin cleansing and meibomian gland expression for anterior blepharitis and posterior blepharitis
  - Motorized/mechanical devices<sup>2</sup>
  - Thermal and thermal pulsation<sup>3</sup>
  - Intraductal probing<sup>4</sup>
  - Intense pulsed light<sup>5</sup>

1. Gao YV, et al. *Cornea*. 2007;26(2):138-143. 2. Korb DR, Blackie CA. *Cornea*. 2013;32(12):1954-1957.  
3. Lane SS, et al. *Cornea*. 2012;31(4):396-404. 4. Maslin SL. *Cornea*. 2010;29(10):1145-1152.  
5. Crisp JP, et al. *Invest Ophthalmol Vis Sci*. 2015;56(3):1865-1870.

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### Treatment Strategies in 2019

- **Anti-inflammatory agents**
  - Topical corticosteroids
  - Topical cyclosporine A emulsion (CSA), 0.05%
  - Topical lifitegrast, 5%
  - Oral tetracyclines or macrolides
  - Topical azithromycin
- **Amniotic membrane** products: anti-inflammatory and promote wound healing
- **Neurostimulation**
  - Intranasal neurostimulation device (TrueTear)

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### Dry Eye Disease is Highly Prevalent with Substantial Unmet Need

~34 Million adults in the U.S. are estimated to have DED, however...



Only 8% of eye doctors state they can adequately treat dry eye patients with current options

Paikare, et al. *Am J Ophthalmol* 2016.  
TEDS: What is DED? Available at [www.nasireyecare.com/resources/eye-questions-answers](http://www.nasireyecare.com/resources/eye-questions-answers)

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## Anti-Inflammatories

- Cyclosporine 0.09% (Cequa)
  - In nanomicellar solution
  - Approved in late 2018
  - Indication to increase tear production for dry eye disease
- Loteprednol 0.25%
  - In mucous-penetrating nanoparticle (MPP)
  - Acute treatment of dry eye flares

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### Cyclosporine 0.09% in nanomicellar solution

	Tear Production			
	OTX-101-2014-001		OTX-101-2016-001	
	CEQUA N = 152	Vehicle N = 152	CEQUA N = 371	Vehicle N = 373
≥ 10-mm increase in tear production (% of eyes) at Day 84	16.8%	8.6%	16.6%	9.2%
Difference (95% CI)	8.2% (1.9%, 14.6%)		7.3% (3.3%, 11.3%)	
p-value versus vehicle	<0.01		<0.01	

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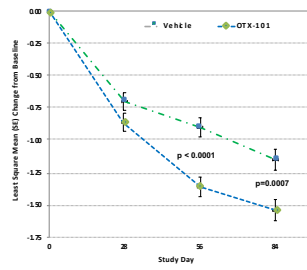
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**Total Conjunctival Staining (Excluding Superior Zones)**  
*Change from Baseline*



Luchs, J. Scientific Paper ASCRS Annual Meeting 2018.

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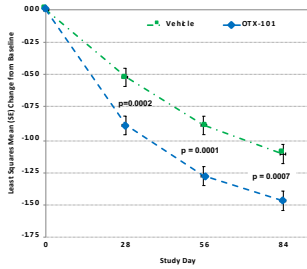
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**Total Corneal Fluorescein Staining**  
*Change from Baseline*



Luchs, J. Scientific Paper ASCRS Annual Meeting 2018.

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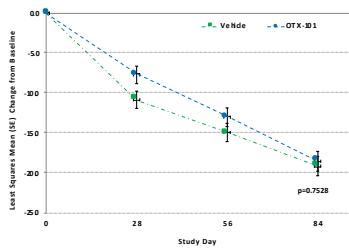
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**Global Symptom Score (SANDE)**  
*Change from Baseline*



Luchs, J. Scientific Paper ASCRS Annual Meeting 2018.

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## Loteprednol 0.25% in MPP for Dry Eye Flares

- Loteprednol etabonate 0.25% in the AMPPLIFY™ nanosuspension is ~300 nm
- Traditional loteprednol etabonate (LE) suspension 6,000 nm
- Current LE concentrations 0.5% (Lotemax) and 0.2% (Alrex)
- In FDA Phase 3 clinical trials

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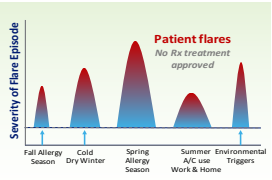
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### The Reality of Dry Eye Disease

- DED is a chronic condition with episodic flares
- A dry eye flare is a rapid-onset inflammation-driven response to external stimuli or environmental insult
  - Seasonal or perennial allergies
  - Weather, humidity, travel
  - Stress, change in sleep pattern, poor diet
  - Change in systemic medications or health
  - Ocular surgery
- Most dry eye disease patients with or without maintenance dry eye therapy, experience flares
- Regardless of dry eye severity, flares typically occur 4-6 times per year.




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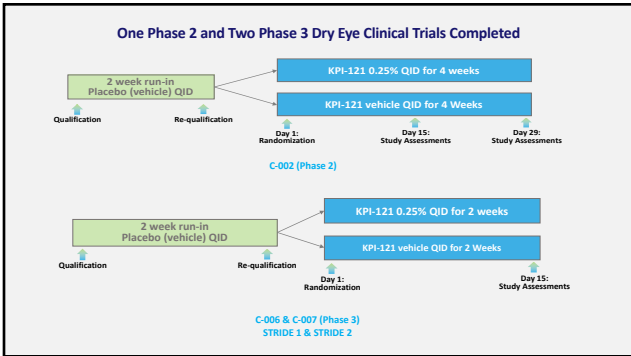
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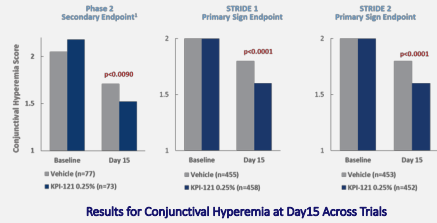
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**Statistical Significance for Conjunctival Hyperemia in all 3 Trials**




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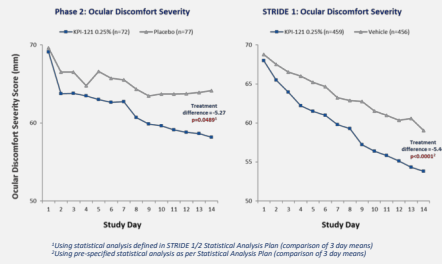
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**Similar Treatment Benefit Seen in Phase 2 and STRIDE 1**




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**Totality of Data Demonstrates Efficacy for Signs and Symptoms of DED**

KPI-121 0.25% Results and Key Findings				
		Phase II	STRIDE 1	STRIDE 2
SIGN	Variable	Conjunctival Hyperemia (CH)	Conjunctival Hyperemia (CH)	Conjunctival Hyperemia (CH)
	P	0.0090	<0.0001	<0.0001
SYMPTOM	Variable	Ocular Discomfort Severity (ODS) <sup>1</sup>	Ocular Discomfort Severity (ODS)	Ocular Discomfort Severity (ODS)
	P	0.0489**	<0.0001	0.1389

■ Primary Endpoints with statistical significance  
 ■ Secondary Endpoint of the Phase II Trial; \*\*Using the STRIDE 1/2 statistical analysis plan

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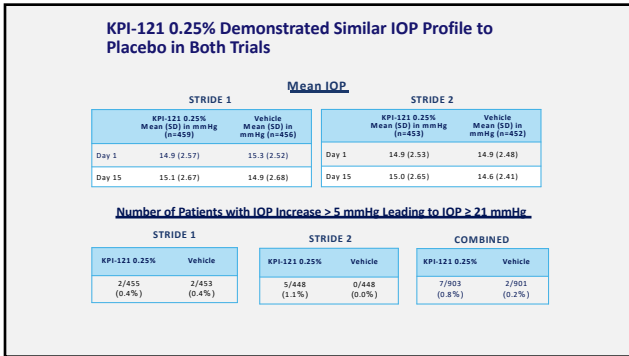
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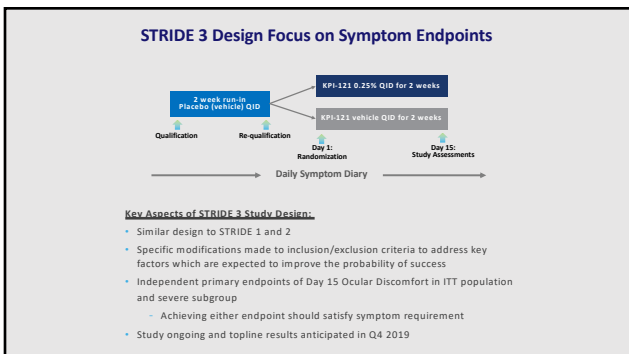
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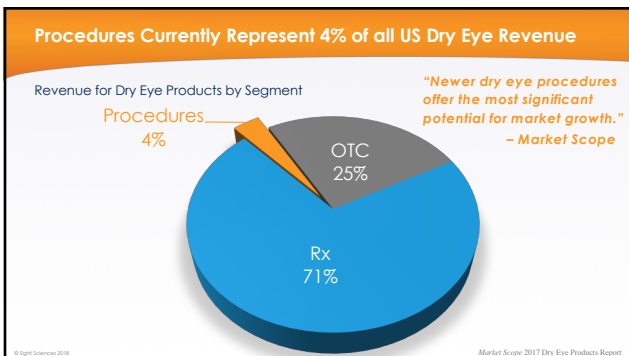
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**TearCare**

**Personalized Open Eye Experience**  
For those who suffer from dry eye disease, TearCare® is the most personalized procedure that offers a savvy approach

- Natural-blink design
- Ultra-precise meibomian gland clearance
- Patented smart system



© Sight Sciences 2018

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**TearCare**



For the application of localized heat when the current medical community recommends the application of a warm compress to the eyelids. Such applications would include Meibomian Gland Dysfunction (MGD), Dry Eye, or Blepharitis

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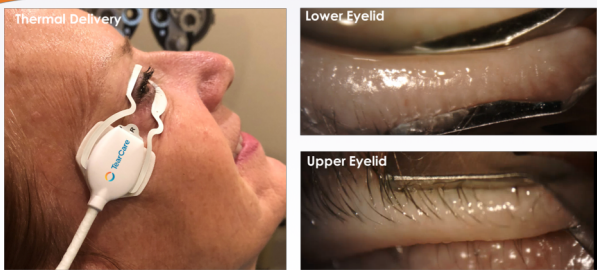
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**TearCare® During Thermal Delivery and Clearing**



**Thermal Delivery**

**Lower Eyelid**

**Upper Eyelid**

© Sight Sciences 2018

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# Pilot Data Review

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**TearCare® Pilot Study**  
Initial 6-month data published in *Clinical Ophthalmology*, April 2018

**Purpose:**

- Preliminary Assessment of the Long-Term Safety & Effectiveness of the TearCare® System in the Treatment of the Signs & Symptoms of Dry Eye Disease
- Assess Re-Treatment at 6 months
- Gather data to help design pivotal study

**Study Details:**

- Single Center: David Badawi, MD
- Prospective, randomized, controlled trial
- 24 Subjects followed for 6 months
  - 12 TearCare subjects
  - 12 Warm Compress subjects (5 minutes daily for 1 month)
- All 12 original TearCare subjects were re-treated at 7 months and followed for another 6 months (13 months total) (*re-treatment results not yet published*)

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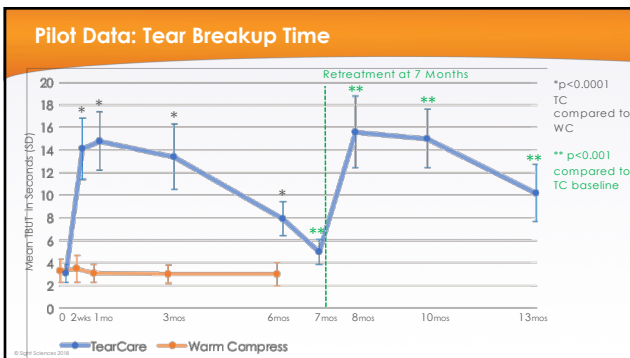
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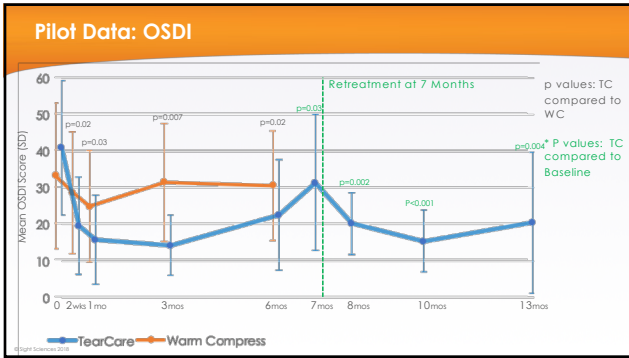
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### iLux® In-Office MGD Treatment



**Handheld iLux® device**

- Magnifier allows the user to view the eyelid margin
- Warms the eyelid tissue within a therapeutic target range to melt the meibum blocking the orifices, then applies compression to express the melted meibum through the orifices
- Amount of heat and pressure is under direct control of the user



**iLux® Smart Tip**

- Sterile, single-patient-use disposable tip
- Inner and outer pads are covered with a soft, biocompatible silicone material
- Contains precision temperature sensors that continually monitor inner and outer eyelid temperature and ensure therapeutic heat levels during treatment

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### Treatment with iLux® is Intuitive for Doctors and Their Technicians to Perform




**See It**  
View the blocked Meibomian glands through the magnifying lens



**Heat It**  
Slide the heater control switch forward to activate the LED lights, applying localized heat to melt the blockages



**Treat It**  
Use the compression control button to gently compress the eyelid and clear the blocked Meibomian glands



**Personalize It**  
Select only the areas that need treatment and perform up to four targeted treatments per eye. Monitor treatment time and temperature on the display, and view expressed meibum through the magnifying lens

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**iLux®: Intuitive, In-Office MGD Treatment**




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**iLux® Device Delivers Efficacy and Showed Non-Inferiority of MGD Treatment Relative To LipiFlow<sup>1</sup>**

- Study Objective:** To compare the changes in Meibomian gland function and evaporative dry eye (EDE) symptoms after treatment with iLux® and LipiFlow<sup>1</sup>
- Study Design:** This was a randomized, open-label, multisite clinical trial that enrolled 142 subjects from 8 study sites. Subjects were randomized for bilateral treatment in a 1:1 ratio between the iLux® treatment group and the LipiFlow<sup>1</sup> group. Primary and secondary efficacy endpoints were assessed at baseline and 2 and 4 weeks post-treatment

**Primary Effectiveness Endpoints:** Meibomian Gland Score (MGS) and Tear Breakup Time (TBUT), as well as **secondary endpoint** of Ocular Surface Disease Index (OSDI) symptom scores

**Results: iLux® was non-inferior** relative to LipiFlow<sup>1</sup> with respect to MGS, TBUT, and OSDI, at all assessed timepoints

\* LipiFlow is a trademark of Johnson & Johnson Vision Care, Inc.  
Reference: 1. Harden DR, Schwab JD, Disher JC, et al. Comparison of a Handheld Infrared Heating and Compression Device for Treatment of Meibomian Gland Dysfunction to a Thermal Pulsation Device. Presented at the Annual Meeting of the American Society of Cataract and Refractive Surgery (ASCRS), April 13-17, 2018; Washington, D.C.

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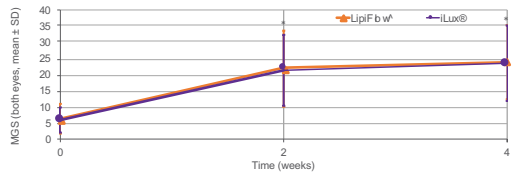
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**Meibomian Gland Score (MGS) Significantly Improved From Baseline at Week 2 and Week 4 After Treatment With iLux®**

**Meibomian Gland Score (MGS)<sup>1</sup>**



Time (weeks)	LipiFlow <sup>1</sup> (Mean MGS)	iLux® (Mean MGS)
0 (Baseline)	~10	~10
2	~22	~22
4	~25	~25

\* p < 0.0001, compared to baseline  
\* LipiFlow is a trademark of Johnson & Johnson Vision Care, Inc.  
Reference: 1. Harden DR, Schwab JD, Disher JC, et al. Comparison of a Handheld Infrared Heating and Compression Device for Treatment of Meibomian Gland Dysfunction to a Thermal Pulsation Device. Presented at the Annual Meeting of the American Society of Cataract and Refractive Surgery (ASCRS), April 13-17, 2018; Washington, D.C.

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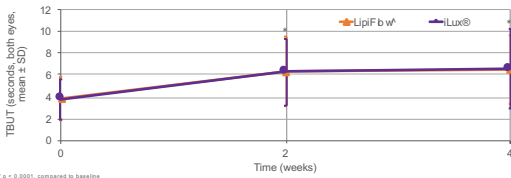
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### Tear Breakup Time (TBUT) Significantly Improved From Baseline at Week 2 and Week 4 After Treatment With iLux®

Tear breakup time (TBUT)<sup>1</sup>



<sup>1</sup> p < 0.001, compared to baseline  
<sup>2</sup> LipiFlow is a trademark of Johnson & Johnson Vision Care, Inc.  
 Reference: 1. Hardest DR, Schwab JD, Diller JG, et al. Comparison of a Handheld Infrared Heating and Compression Device for Treatment of Meibomian Gland Dysfunction to a Thermal Pulsation Device. Presented at the Annual Meeting of the American Society of Cataract and Refractive Surgery (ASCRS), April 13-17, 2016, Washington, D.C.

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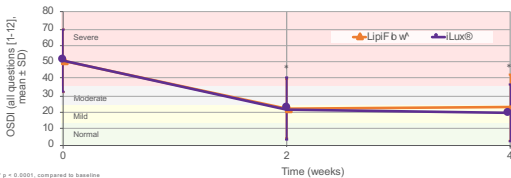
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### Ocular Surface Disease Index (OSDI) Significantly Improved From Baseline at Week 2 and Week 4 After Treatment With iLux®

Ocular Surface Disease Index (OSDI)<sup>1</sup>



<sup>1</sup> p < 0.001, compared to baseline  
<sup>2</sup> LipiFlow is a trademark of Johnson & Johnson Vision Care, Inc.  
 Reference: 1. Hardest DR, Schwab JD, Diller JG, et al. Comparison of a Handheld Infrared Heating and Compression Device for Treatment of Meibomian Gland Dysfunction to a Thermal Pulsation Device. Presented at the Annual Meeting of the American Society of Cataract and Refractive Surgery (ASCRS), April 13-17, 2016, Washington, D.C.

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### iLux® Does Not Cause Excessive Heating of the Eye

- An open-label safety study of iLux® found **no sign of excessive heating** of the cornea, outer eyelid, or surrounding surface<sup>1\*</sup>
- Standard optometric assessments of the cornea and subjects' vision demonstrated **no corneal damage and minimal impact on vision**<sup>1\*</sup>

Comparison of pre- and post-heating temperature readings (n=30 eyes)<sup>1\*</sup>

Tissue Site	Pre-heating temperature (°C)	Post-heating temperature (°C)	Change in temperature (°C)
Corneal	Maximum: 36.9 Mean: 36.0 ± 0.6	Maximum: 38.8 Mean: 37.7 ± 0.5	+1.8
Outer eyelid	Maximum: 37.4 Mean: 36.5 ± 0.5	Maximum: 40.6 Mean: 38.5 ± 0.8	+3.2
Surrounding surface	Maximum: 37.6 Mean: 36.8 ± 0.5	Maximum: 39.7 Mean: 38.2 ± 0.7	+2.1

<sup>1</sup> Heating performed in the medial nasal and medial temporal zones in the upper and lower eyelids of each eye for 60 seconds in each zone  
 Reference: 1. Hardest DR, Kappali PB, Diller J. Safety parameters of a handheld infrared heating and compression device for management of Meibomian gland dysfunction. Presented at the ASCRS-ASOA Annual Meeting, April 13-17, 2016, Washington, D.C.

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Neurostimulation and DED

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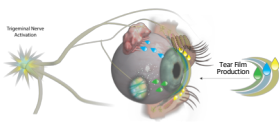
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**The Parasympathetic Nervous System (PNS) Is a Critical Regulator of the Lacrimal Functional Unit (LFU) and a Healthy Tear Film**

**Did you know?**

**34%** of basal tear production is due to inhaled air through the nasal passage<sup>1</sup>

The **parasympathetic nervous system** regulates the Lacrimal Functional Unit (LFU) and Tear Film Production via the Trigeminal Nerve **accessible within the nose**



<sup>1</sup>Smith A, Hwang J, Phagdasan SC. Neurostimulation of aqueous tear production. *Cornea*. 1997;16(10):651-6.

<sup>2</sup>van der Worp F, A, A, N, S, B, J, P, M, M, A, N, R, Z, M, B, D, O, J, A. (2016). Interactions of the lacrimal gland in the corneal epithelium: a noninvasive imaging study. *Journal of ophthalmology*, 138(9):1516-1524.

<sup>3</sup>Yoshida M, T, Chen, Q, Purdy, K, G, G, Chen, W, A, B, D, P, J. (2015). Parasympathetic innervation of the lacrimal gland in mice: morphological and functional analysis. *PLoS ONE*, 10(12):e0182414.

<sup>4</sup>Darti, D. A., McCarthy, D. M., Mervin, H. J., Kessler, T. L., Chang, E. W., & Zarka, J. D. (1995). Localization of nerves adjacent to goblet cells in rat conjunctiva. *Current eye research*, 14(12), 993-1000.

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**Dry Eye Disease is characterized by tear film dysfunction, yet there is a gap between anti-inflammatories and options that directly increase tear film production and address the need for rapidity in symptom reduction**

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### Oyster Point Pharma's OC-01/OC-02 for the Treatment of Signs and Symptoms of Dry Eye Disease (DED) Administered Via a Nasal Spray

- OC-01 and OC-02 are being developed to directly address loss of tear film homeostasis in DED and are delivered as a nasal spray.
- Drug candidates bind to nicotinic acetylcholine receptors (nAChRs), which are located on the trigeminal nerve accessible within the nasal cavity, to stimulate tear film production.
- Trigeminal parasympathetic pathway is well characterized with nerves that innervate the lacrimal functional unit (LFU) including cornea, conjunctiva, accessory lacrimal glands, meibomian glands, and goblet cells<sup>1,2,3</sup>



1. Saito M, Nishida K, Nishida K, et al. (2015). Activation of the trigeminal ganglion by the parasympathetic pathway: a trigeminal nerve study. Journal of anatomy, 216(1), 104-114. 2. Saito M, Nishida K, Nishida K, et al. (2015). Activation of the trigeminal ganglion by the parasympathetic pathway: a trigeminal nerve study. Journal of anatomy, 216(1), 104-114. 3. Saito M, Nishida K, Nishida K, et al. (2015). Activation of the trigeminal ganglion by the parasympathetic pathway: a trigeminal nerve study. Journal of anatomy, 216(1), 104-114.

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### Oyster Point is Developing a Disruptive Approach to Treating Dry Eye Disease Based on Neuroscience and Role of the LFU

Ideal Compound

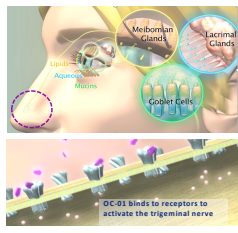
Novel Mechanism of Action

The trigeminal nerve is **accessible within the nasal cavity** and can be activated by stimulating **Nicotinic acetylcholine receptors (nAChR)**

**OC-01** nAChR Agonist with Unique Receptor Activation Profile



- Nasal Spray Solution
- Multi Dose Preservative Free
- 50µl volume (Standard is 120µl)
- BID Dosing
- 30 Day Supply



OC-01 binds to receptors to activate the trigeminal nerve

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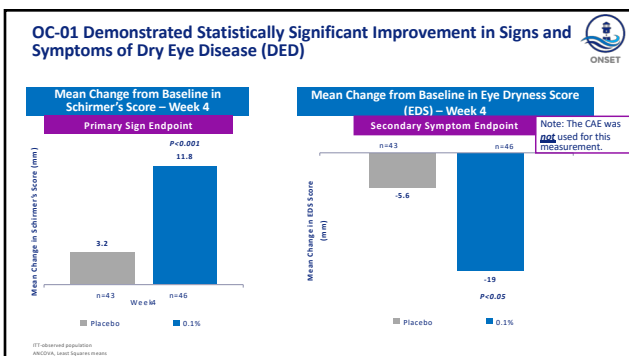
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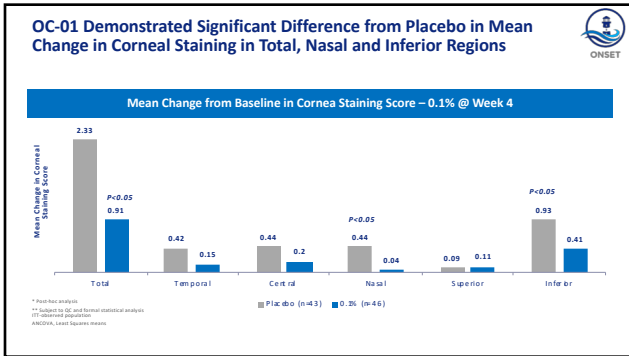
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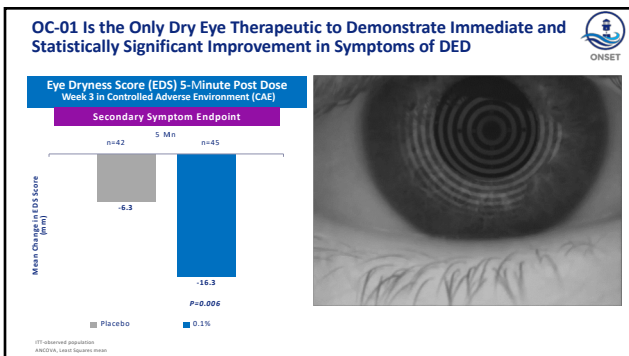
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### OC-01 is Well Tolerated with Zero Ocular Side Effects

Adverse Events Potentially Related to OC-01 >5% of subjects		
Occurred at least once after any installation	OC-01 (n=46)	Placebo (n=43)
Sneeze	38 (79)	0
Cough	6 (13)	0
Throat irritation	7 (15)	0
Instillation site irritation	8 (17)	0
Pharynx dysaesthesia	4 (8)	0

- All events transient and self-limiting immediately following administration
- All events mild (94%) or moderate (4%) in severity. No severe events.
- No ocular adverse events; Side effects consistent with that of any nasal spray (sneeze, cough, irritation)

On Track for Initiating Phase 3 in 2019

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# Neurotrophic Keratitis

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**Endogenous nerve growth factor (NGF) and its role in NK:**

**Neurotrophic keratitis (NK) is a result from impaired trigeminal corneal innervation**

- ↓ Lacrimation and blink reflex
- ↓ Epithelial cell vitality, metabolism, mitosis
- ↓ Epithelial trophism and repair
- ↑ Stromal and intracellular edema
- ↓ Microvilli
- ↓ Development of the basal lamina

**Endogenous NGF maintains corneal integrity by three mechanisms**

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graph TD
    A[Cell proliferation] --- B[Tear secretion]
    A --- C[Corneal reinnervation]
    B --- C
    D[Nerve damage] --> E[loss of corneal sensitivity]
    E --> F[NK]
            
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Madrigras et al. (2017) | Cell Physiol 202:727-34

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**Active ingredient structurally identical to human nerve growth factor produced in ocular tissues**

- Naturally occurring neurotrophin is responsible for differentiation, growth, and maintenance of neurons
- The regenerative potential of nerve growth factor (NGF) was discovered by Nobel-prize winning scientists in the early 1950s
- Cenergin-bkbj, a novel recombinant human nerve growth factor (rhNGF), is **STRUCTURALLY IDENTICAL** to the NGF protein

Lambone A, Batta P, Bantini L, Caporizzo G, Abu L. Topical treatment with nerve growth factor for corneal neurotrophic ulcers. N Engl J Med 2016;338:1176-80.

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### Cenergermin-bkbj: Recombinant human NGF (rhNGF) Proprietary treatment developed by Dompé

**~10x more potent** than murine NGF based on in vitro studies

**Phase I study (74 healthy subjects)**

- Favorable safety and tolerability
- No immunogenicity and no significant changes in serum NGF

**Resulting product: A more potent, patient-compatible NGF**

bioRxiv preprint doi: <https://doi.org/10.1101/302753>; this version posted April 11, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-NC-ND 4.0 International license.

**Safety and Pharmacokinetics of Escalating Doses of Human Recombinant Nerve Growth Factor Eye Drops in a Double-Masked, Randomized Clinical Trial**

Mauro P. Ferrari · Flavio Maselli · Maria Sacchetti · Maria Irene Antonangeli · Franco Cellini · Gustavo D'Annunzio · Francesco Stagiaglio · Pier Adalberto Ruffini · Alessandra Lambiasi

Safety and pharmacokinetics of escalating doses of human recombinant nerve growth factor eye drops in a double-masked, randomized Ferrari MP, Maselli F, Sacchetti M, et al. Oculat trial. bioRxiv: 2018.03.27.262493

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### OXERVATE™ (cenergermin-bkbj 20 mcg/ml) was approved by FDA in August 2018

**Phase II Randomized, Double-Masked, Vehicle-Controlled Trial of Recombinant Human Nerve Growth Factor for Neurotrophic Keratitis**

Soltes Evesz, MD<sup>1</sup>, Alessandra Lambiasi, MD, PhD<sup>2</sup>, Franck Borne, MD<sup>3</sup>, Francesco Stagiaglio, MD<sup>4</sup>, Maria Iolanda, PhD<sup>5</sup>, Wendy Chan, PhD<sup>6</sup>, Franck Maselli, MD, PhD<sup>7</sup>, for the REPAIR-2 Study Group\*

**Purpose:** To evaluate the safety and efficacy of topical recombinant human nerve growth factor (rhNGF) for treatment of neurotrophic keratitis (NK), a rare degenerative corneal disease resulting from impaired corneal innervation.

**Design:** Phase II multicenter, randomized, double-masked, vehicle-controlled trial.

**Participants:** Patients with stage 2 keratitis on stage 2 or stage 3 NK in 1 eye.

**Methods:** The REPAIR-2 phase II study assessed safety and efficacy in 100 patients randomized 1:1 to rhNGF 20 µg/ml or vehicle. Treatment was administered 8 times per day for 8 weeks. Patients were observed at 0, 2, 4, 8, 12, 16, 20, 24, 28, 32, 36, 40, 44, 48, and 52 weeks. Primary end point was complete corneal healing, whereas efficacy was by intention-to-treat.

**Main Outcome Measures:** Corneal healing defined as ≥0.5-mm maximum diameter of keratitis staining in the lesion area was assessed by masked central readers at week 4 (primary efficacy end point) and week 8 (secondary efficacy end point) of treatment. <https://doi.org/10.1093/ptnp/ptz001>

- Approved for the treatment of neurotrophic keratitis in adults and children age 2 and older
- Available for ordering since January 2019
- Developed by Dompé pharmaceuticals, available through specialty pharmacy

Boschi S, Lambiasi A, Ruffini P, et al. Phase II Randomized, Double-Masked, Vehicle-Controlled Trial of Recombinant Human Nerve Growth Factor for Neurotrophic Keratitis. Ophthalmology 2018;125:1830-1841.

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### OXERVATE™ (cenergermin-bkbj) ophthalmic solution 0.002% Pivotal Trials Study Design

**NGF0212/REPARO Study<sup>1</sup>**

Controlled treatment period 6X/day

**8 weeks treatment** | **48 weeks follow up**

Cenergermin 20 µg/ml, N=52

Cenergermin 10 µg/ml, N=52

Vehicle, N=52

Uncontrolled treatment period

\*Vehicle-treated patients not healed at Week 8 were randomized to cenergermin treatment (total of 23)

**8 weeks treatment** | **48 weeks follow up**

Cenergermin 20 µg/ml

Cenergermin 10 µg/ml

**NGF0214 (US Trial) Study<sup>2</sup>**

Controlled treatment period 6X/day

**8 weeks treatment** | **24 weeks follow up**

Cenergermin 20 µg/ml, N=24

Vehicle, N=24

Uncontrolled treatment period

\*Vehicle-treated patients not healed at Week 8 were switched to cenergermin treatment (total of 13)

**8 weeks treatment** | **24 weeks follow up**

Cenergermin 20 µg/ml

The primary efficacy endpoint, which was determined by a central reading center, was "complete corneal healing" defined as 0 mm staining in the lesion area and no persistent staining in the rest of the cornea.

1. Boschi S, Lambiasi A, Ruffini P, et al. Phase II Randomized, Double-Masked, Vehicle-Controlled Trial of Recombinant Human Nerve Growth Factor for Neurotrophic Keratitis. Ophthalmology 2018;125:1830-1841.  
2. Boschi S, Borne F, Chan W, et al. Complete corneal healing in neurotrophic keratitis: results from a randomized human nerve growth factor eye drops study in patients with stage 2 or 3 neurotrophic keratitis. Presented at Congress of the European Society of Ophthalmology (ESOP) 2017 June, 2017, Valencia, Spain, 2017.

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### Study Criteria

#### Main inclusion criteria

- Adult NK patients with stage 2 or 3 NK
- Unilateral NK only in NGF0212/REPARO
- Unilateral or bilateral NK permitted in NGF0214
- Evidence of decreased corneal sensitivity (<40mm by Cochet-Bonnet aesthesiometer) within the area of the PED or corneal ulcer and outside of the area of the defect, in at least 1 corneal quadrant
- Refractory to ≥ 1 nonsurgical tx
- No improvement in 2 weeks prior to enrollment

#### Main exclusion criteria

- Infection, inflammation, other ocular disease requiring topical tx
  - Glaucoma patients were switched to systemic meds during the study
- Severe blepharitis or MGD
- Prior surgical tx for NK
  - Exception for AMT performed > 6 weeks prior or membrane disappeared > 2 prior
- Stromal involvement in posterior third, corneal melting, or perforation in study eye

1: ClinicalTrials.gov identifier: NCT02754414  
2: ClinicalTrials.gov identifier: NCT02221947

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### Stage 2 and Stage 3 NK patients enrolled

NGF0212/REPARO Study <sup>1,3</sup>			NGF0214 (US Trial) Study <sup>2,3</sup>		
	OXERVATE™ (n=52)	Vehicle (n=52)		OXERVATE™ (n=24)	Vehicle (n=24)
Primary NK diagnosis, no. (%)			Primary NK diagnosis, no. (%)		
Stage 2 (moderate)	27 (51.9)	28 (53.8)	Stage 2 (moderate)	15 (62.5)	18 (75.0)
Stage 3 (severe)	25 (48.1)	24 (46.2)	Stage 3 (severe)	9 (37.5)	6 (25.0)
Underlying cause, no. (%)			Underlying cause, no. (%)		
Herpetic eye disease	11 (21.2)	18 (34.6)	Herpetic eye disease	9 (37.5)	8 (33.3)
Neurosurgical procedure	8 (15.3)	7 (13.4)	Neurosurgical procedure	1 (4.2)	5 (20.8)
Ocular surgery or procedure	5 (9.6)	7 (13.4)	Ocular surgery or procedure	1 (12.5)	4 (16.7)
Dry eye disease	6 (11.5)	5 (9.6)	Dry eye disease	3 (12.5)	3 (12.5)
Ocular surface injury/inflammation	5 (9.6)	5 (9.6)	Ocular surface injury/inflammation	2 (8.3)	1 (4.2)
Other	5 (9.6)	3 (5.8)	Other	2 (8.3)	1 (4.2)
Topical medication (glaucoma)	1 (1.9)	1 (1.9)	Topical medication (glaucoma)	1 (4.2)	1 (4.2)
Stroke	2 (3.8)	0	Stroke	0	1 (4.2)
Unknown origin	3 (5.8)	0	Unknown origin	2 (8.3)	0
Systemic medication	0	0	Systemic medication	1 (4.2)	0

1: Bhatti S, Lamborn K, Storch A, et al. Phase 3 Randomized, Double-Masked, Vehicle-Controlled Trial of Recombinant Human Neural Growth Factor for Neurotrophic Keratitis. *Ophthalmology* 2018;125:1222-1230.  
2: Durr M, Bhat A, Durr M, et al. Safety and efficacy of recombinant human neural growth factor eye drops in patients with stage 2 or 3 neurotrophic keratitis. *Invest Ophthalmol Vis Sci* 2018;59:1000-1008.  
3: Wang H, et al. Safety and efficacy of recombinant human neural growth factor eye drops in patients with stage 2 or 3 neurotrophic keratitis. *Invest Ophthalmol Vis Sci* 2018;59:1000-1008.

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### OXERVATE™ (cenegermin-bkbj) ophthalmic solution 0.002% Dosing and Administration



Every 2 hours instill 1 drop of OXERVATE™ (cenegermin-bkbj) ophthalmic solution 0.002% in the affected eye(s)

Apply 6 times daily

Continue for 8 weeks

OXERVATE™ Prescribing Information, 2018

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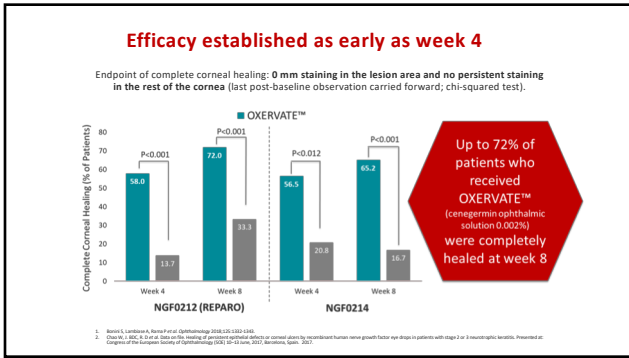
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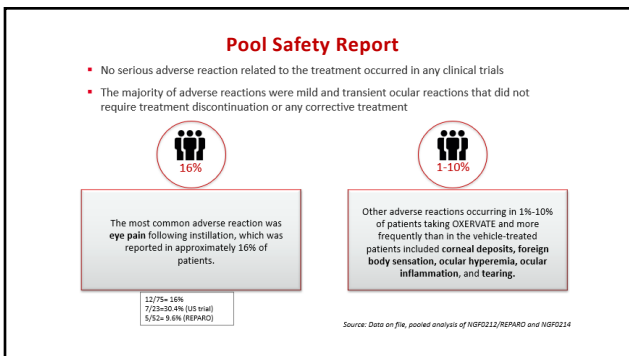
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### Oxervate is neither systemically absorbed, nor immunogenic

- In Phase I (NGF0112) in healthy patients at doses up to 180 µg/ml, serum concentrations of NGF did not differ from basal levels.
- In Phase I/II (NGF0212/REPARO) in NK patients, NGF serum levels were below the lower level of quantification in **almost all patients** (detectable serum NGF levels likely reflected known inter- and intra-individual fluctuations independent of study treatment).
- No systemic immunogenicity was detected in any clinical studies. With no (or negligible) systemic exposure, off-target pharmacological activity or toxicity are unlikely.
- The hydrophilic rhNGF solution has a very low residence time in the eye (quickly removed with the tear flow).

1. Bennis G, Lambaert A, Kohnen F et al. Phase I Randomized, Double-Masked, Vehicle-Controlled Trial of Recombinant Human Nerve Growth Factor for Neurotrophic Keratitis. Ophthalmology. 2018;125:1332-1340.  
 2. Mawji P, Ferreri et al. Safety and Pharmacokinetics of Sustained Doses of recombinant Human Nerve Growth Factor Eye Drops in a Double-Masked, Randomized Clinical Trial. Ophthalmology 2019;126:275-282

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### Study Conclusions

Up to 72% of patients achieved complete corneal healing;  
80% of healed patients were recurrence free after 1 year\*

After 8 weeks of treatment,  
6 times daily

50  
clinical trial sites  
in Europe and  
the U.S.

Study NGF0212  
(REPARO)  
(N=52 per group)  
European patients  
with NK in one eye  
NCT01756456

72.0%  
completely  
healed  
Vehicle response rate  
33.3%

Study NGF0214  
(N=24 per group)  
U.S. patients with NK  
in one or both eyes  
NCT02227147

65.2%  
completely  
healed  
Vehicle response rate  
16.7%

80%

Of patients who healed  
after one 8-week course of  
treatment...

Remained healed for  
one year\*

\*Based on REPARO, the study with longer follow-up

1. Bhatti S, Lambson A, Kato P et al. *Ophthalmology* 2016;123(12):2133-2143.  
2. Chan W, Li H, et al. *IOVS* 2017;58(12):2040. In: *Meeting of preservatives epithelial defects or corneal ulcers by recombinant human nerve growth factor eye drops in patients with stage 2 or 3 keratoepithelial keratitis*. Presented at Congress of the European Society of Ophthalmology (ESO) 10-13 June 2017, Barcelona, Spain, 2017.

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